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# A catchment-scale perspective of plastic pollution

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7 **Title:**

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10 Catchment-scale plastic pollution

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## 30 **Abstract**

31 Plastic pollution is distributed widely across the globe, but compared with marine  
32 environments, there is only rudimentary understanding of the distribution and effects of plastics  
33 in other ecosystems. Here, we review the transport and effects of plastics across terrestrial,  
34 freshwater and marine environments. We focus on hydrological catchments as well-defined  
35 landscape units that provide an integrating scale at which plastic pollution can be investigated.  
36 Diverse processes are responsible for the observed ubiquity of plastic pollution, but sources,  
37 sinks and fluxes in river catchments are poorly quantified. Nevertheless, early indications are  
38 that rivers are hotspots of plastic pollution, supporting some of the highest recorded  
39 concentrations. River systems are also likely pivotal conduits for plastic transport among the  
40 terrestrial, floodplain, riparian, benthic and transitional ecosystems with which they connect.  
41 Although ecological effects of micro- and nano-plastics might arise from a variety of  
42 physical and chemical mechanisms, understanding of their nature, severity and scale is  
43 restricted and lacks consensus in comparison to macro-plastic research. Furthermore, whilst  
44 individual-level effects are often graphically represented in public media, knowledge of the  
45 extent and severity of the impacts of plastic at population, community and ecosystem levels is  
46 limited. Given the potential social, ecological and economic consequences, we call for more  
47 comprehensive investigations of plastic pollution in ecosystems to guide effective management  
48 action and risk assessment. This is reliant on (i) expanding research to quantify sources, sinks,

fluxes and fates of plastics; (ii) improving environmentally relevant dose-response relationships for different organisms and effect pathways, (iii) scaling up from studies on individual organisms to populations and ecosystems, where individual effects are shown to cause harm; and (iv) improving biomonitoring through developing ecologically relevant metrics based on contemporary plastic research.

## **1. Introduction**

Plastic waste production across the globe has reached approximately 6300 million metric tons (MT), most (79%) of which has been disposed of to land-fills and more widely into the surrounding environment (Geyer et al., 2017). The annual flow of plastic pollution to the world's oceans is estimated to be 4.8–12.7 MT, a large proportion of which comes from sources on land and is transported by rivers or wind (Jambeck et al., 2015). Plastic pollution is comprised of a variety of different organic polymers and is invariably categorised based on particle size: nano (<1 µm), micro (0.01–5 mm), meso (5–25 mm) and macro (>25 mm). Once *in situ* within ecosystems, degradation and fragmentation processes make the identification and removal of these plastic particles difficult. Recent reviews and theoretical models have, however, indicated a large number of potential sources, fluxes and sinks of plastics across the wider environment (Alimi et al., 2018; Browne et al., 2011; de Souza Machado et al., 2018a; Horton et al. 2017a; Wagner et al., 2014). A more detailed understanding of the sources, fluxes and effects of these anthropogenic pollutants, and a more comprehensive quantification of their fate, is now required urgently to determine the risks to people and ecosystems across the globe (de Souza Machado et al., 2018a; Horton & Dixon, 2017; Nizzetto et al., 2016a).

Large production volumes, long-term environmental persistence and potential ecological effects have meant that plastic pollution has received increasing attention (Thompson et al.,

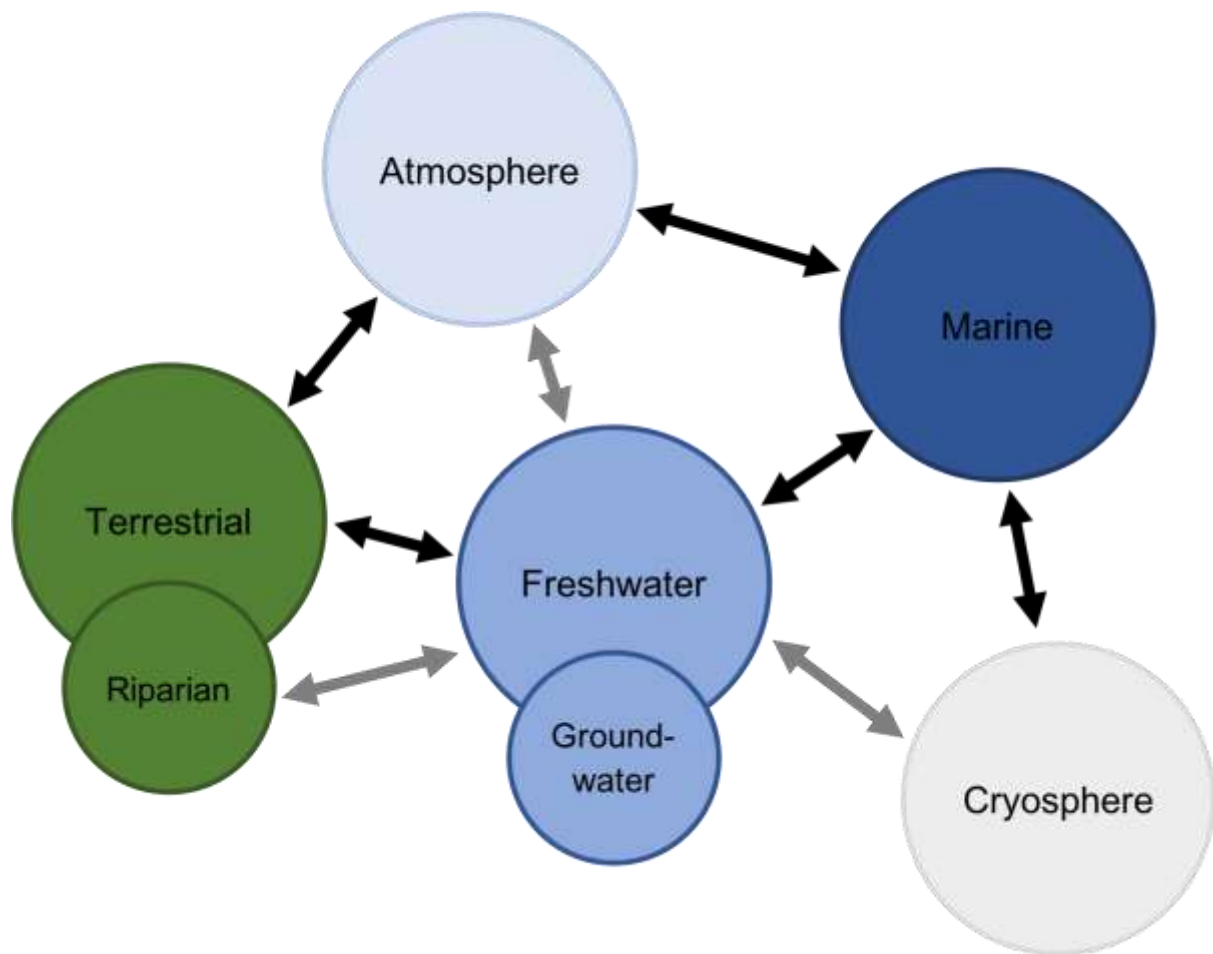
2009). The variety of plastic sizes (microns to metres) and characteristics (e.g. shape, physical and chemical properties) make this group of pollutants particularly diverse (Rochman, 2015). In turn, the diversity and ubiquity of plastic particles within natural systems, mean there is a wide variety of ways in organisms can interact with, become entangled in, or ingest plastic pollution (e.g. Cole *et al.*, 2013; Foekema *et al.*, 2013; Lusher *et al.*, 2013, 2015a; Hall *et al.*, 2015). Although existing information indicates the potential for effects across biological communities and human populations (Halden, 2010), our understanding of the effects of plastic pollution on people and ecosystems remains constrained. Furthermore, despite widely identified interactions between organisms and plastics, a comprehensive mechanistic understanding of effect pathways remains limited, with a few notable exceptions (e.g. ingestion and energy reserve depletion: Wright *et al.*, 2013a). Further to this, existing dose-response relationships for effect pathways are relative restricted and are often limited in either their taxonomic breadth or utility (e.g. unrealistic concentrations and/or plastic characteristics: Phuong *et al.*, 2016). Notable exceptions are presented by recent studies, where existing predicted no effect concentrations for microplastics have been collated – covering a number of plastic types and size categories, as well as incorporating a range of aquatic organisms (Burns & Boxall, 2018; Everaert *et al.*, 2018).

In this review, we critically evaluate existing evidence for the fluxes and effects of plastic pollution from a catchment-scale perspective. We focus particularly on freshwater systems as highly connected networks through which plastics are transported from sources in terrestrial environments to marine ecosystems. Throughout the manuscript we aim to: (i) synthesise existing knowledge regarding the fluxes and effects of plastic pollution across hydrological catchments; (ii) highlight emerging areas that require further research; and (iii) identify improvements to aid the development and integration of catchment-scale research.

## **2. Fluxes of plastics through hydrological catchments**

97 Hydrologically defined river catchments offer valuable units in which to consider the sources,  
98 fluxes and fates of plastic pollution (Fig 1). This is because the transport of plastics often  
99 follows hydrologically pathways, and hydrological pathways are determined clearly by  
100 topography, surface morphology and drainage patterns (Bracken et al., 2013).

101 Once released into the environment, plastics reach across all ecosystems and ecotypes across  
102 the globe (Geyer et al., 2017). Plastic particles are widespread, even in areas considered to have  
103 little to no human influence, such as the deep sea, Arctic sea ice and remote uninhabited islands  
104 (Lavers & Bond, 2017; Peeken et al., 2018; Van Cauwenberghe et al., 2013). Along their  
105 movement from source to sink, plastics interact with their physical, chemical and biological  
106 environment in ways that depend on the characteristics of the plastic (size, shape, polymer type,  
107 etc.) so that it is not practical to consider ‘plastics’ as a singular form of pollution. Nevertheless,  
108 for the purposes of this discussion, we highlight existing theoretical and empirical evaluations  
109 of the flux and effects of a broad group of ‘plastics’ across ecosystems.



**Fig. 1. Conceptual diagram of plastic fluxes across the compartments of hydrological catchments.** Specific pathways, indicated by black arrows, are further discussed within the main body of text. Grey arrows represent theoretical fluxes that have yet to be investigated in detail (see *Underrepresented ecosystems*).

The movement of plastic across and between compartments of river catchments is analogous to other catchment-scale processes involving fluxes, transformations and storage (Horton & Dixon, 2017). It has been theoretically suggested that microplastic particles behave in a similar manner to other particulate matter with similar characteristics (e.g. density, size and shape), such that movement of these particles resembles the flux of others (e.g. sediment/soil particles, fine and coarse organic matter (Nizzetto et al., 2016a). In reality, however, it is likely that the unique diversity of shape, density, size, or surface complexity of plastic particles, limits the accuracy and utility of existing models to predict plastic movement across and within ecosystems. Furthermore, the behaviour of larger particles of plastic (meso to macro) within

ecosystems remains poorly understood. The processes responsible for transporting these larger particles are likely similar to those transporting microplastics, yet operate at larger scales, involve more energy and occur less frequently. As a result of these complications, there remains insufficient data to accurately parameterise and validate empirical transport models for plastic pollution.

While movement of plastic between atmospheric, terrestrial and freshwater systems appears to multidirectional, marine systems are generally perceived to act as sinks for plastics, with limited outfluxes (Browne et al., 2011). However, a significant amount of plastic is transported through river catchments (Lebreton et al., 2017). While this is likely to be the main source of marine plastics (Nizzetto et al., 2016a), little is known about the residence time of plastics in freshwaters, which could also trap significant amounts of material. Quantification of all the pathways from land to sea remains limited (but see Clark *et al.*, 2016; Galloway *et al.*, 2017), yet is key to supporting the estimation of ecological risk across systems.

The characteristics of hydrological catchments are like to maintain important implications for the flux of plastic pollution across the landscape. Features such as topography, hydrology and land use, are likely responsible for altering the mass balance of plastics within catchments – influencing both the diversity and volumes of plastic emitted from sources, the nature and magnitude of transport processes, as well as the likelihood of temporary storage across ecosystems within the wider hydrological catchment. Limited information exists at the catchment-scale, however, existing studies investigating plastic pollution across terrestrial, freshwater, atmospheric and marine systems provide a basis for understanding catchment-scale transport of plastic pollution.

## **2.1. Terrestrial systems**

Several sources of plastic pollution are associated with human activities across the terrestrial environments present within hydrological catchments (de Souza Machado et al., 2018a; Hurley



& Nizzetto, 2018). Plastic pollution stems from a wide array of activities, creating a patchwork of point and diffuse sources across catchments, with both rural and urban soils are considered to be contaminated by plastic particles (Nizzetto et al., 2016b). Intensive agricultural practices distribute plastics across rural regions through the degradation of machinery, diffuse littering, application of sewage sludge as a fertiliser (Zubris & Richards, 2005) and plastic mulching (Steinmetz et al., 2016). The redistribution of sewage sludge is particularly interesting, transporting plastics associated within urban activities across some rural landscapes (Horton et al., 2017a; Zubris & Richards, 2005). The flux of plastics from this activity is potentially important considering that 80–90% of plastics entering sewage treatment are stored in sludge (Talvitie et al., 2017), and a large amount of MPs (4196–15385 MP kg<sup>-1</sup> dry mass) remain post-treatment of biosolids (Mahon et al., 2017). Within Europe, Nizzetto *et al.* (2016b) estimated that 125–180 t of microplastics per million inhabitants are added to agricultural soils as a result of sewage sludge application. Urban land use and associated activities also provide several different sources of plastic pollution (Ballent et al., 2016; Nizzetto et al., 2016b). In particular, loss during waste disposal, industrial spillage and release from landfills provide significant inputs of plastic (Lechner & Ramler, 2015; Sadri & Thompson, 2014). The large production of plastics in terrestrial systems, limited land area and range of distribution processes may result in a greater environmental concentration within these ecosystems, compared to marine environments (Horton et al., 2017a).

The flux and storage of plastic within terrestrial systems have been catalogued theoretically, but there are few field data. Once in terrestrial ecosystems, plastics accumulate in soils and can be ingested by soil-dwelling organisms (Rillig, 2012; Rillig et al. 2017a). Existing empirical data indicate that plastics are incorporated into earthworm casts (Huerta Lwanga et al., 2017), and also that polyethylene microbeads (0.71–2.8 mm) reach down into the subsurface through earthworm burrows (Rillig et al., 2017b). Concentration of plastic in soils varies: river

floodplains across Switzerland revealed relatively low concentrations of microplastics (0–55.5 mg kg<sup>-1</sup>, Scheurer & Bigalke, 2018), but more heavily contaminated industrial soils (300–67500 mg kg<sup>-1</sup>) have been observed from samples collected in Australia (Fuller & Gautam, 2016). The lightweight nature of plastic material, means that in terrestrial systems, particles are more easily transported by wind and weather events (Zylstra, 2013), diffusing their distribution across catchments.

Plastics stored in terrestrial systems may subsequently be re-mobilised and subsequently transported within or across catchments (Dris et al., 2015a; Duis & Coors, 2016; Wagner et al., 2014). Although empirical assessments are absent from the literature, soil erosion during heavy rainfall is likely to increase the flux of plastic particles from soils to river systems (Bläsing & Amelung, 2018). In particular, landfills in low lying areas prone to flooding, present a significant source of plastics into freshwater ecosystems (Brand et al 2018). In some cases, as during flood events, plastics may even return to land, however the flow of plastics out of terrestrial systems appears dominant and drives the global plastic cycle (see de Souza Machado *et al.*, 2018a).

## **2.2. Atmospheric systems**

Plastic, as a result of its lightweight characteristics, can be suspended and transported within the atmosphere at both the catchment and regional scale (Dris et al., 2016; Prata, 2018). Plastics enter the atmospheric system through a variety of pathways across catchments, including combustion of waste plastic, wind erosion of various media, urban dust (including tyre wear particles, paint particles and synthetic fibres) (Lee et al., 2016; Unice et al. 2012) and diffuse litter (Dris et al., 2016). The majority of plastic observed in atmospheric systems falls into the micro- and nano- size classes, nevertheless, larger particles may be suspended in the atmosphere if they support a suitable set of characteristics (e.g. disposable plastic bags and balloons). Significant concentrations of plastic are observed within the lower atmosphere (0.3–

1.5 MPs m<sup>-3</sup>), yet compared to indoor air these values are relatively low (1–60 MPs m<sup>-3</sup>) (Dris et al., 2017). Polyurethane, polypropylene and polystyrene microplastic particles were identified in atmospheric fallout, at concentrations between 175 to 313 MP m<sup>-2</sup> day<sup>-1</sup> in Dongguan city (Cai et al., 2017). Similar concentrations of microplastic were also observed using passive samplers in Paris; 2–355 MPs m<sup>-2</sup> day<sup>-1</sup> (Dris et al., 2016). The fallout of these particles is, in turn, responsible for the accumulation of particles in ‘street dust’. For example, ‘street dust’ collected from sites across Tehran exhibited 88–605 microplastics per 30 g of dust (Dehghani et al. 2017). The atmosphere therefore appears to store and transport plastic, and while there is limited evidence of long-range atmospheric flows of plastic, microplastic pollution occurs in remote environments such as alpine lakes (Free et al., 2014). The storage and transportation of plastics in the atmosphere is likely temporally variable; influenced by the prevailing meteorological conditions at different time scales. Thus, it is unlikely that the atmosphere provides a long-term store of plastics, instead acting as a temporary store, as well as a potential short- and long-distance transport pathway.

### 2.3. *Freshwater systems*

Freshwater ecosystems include a diverse assemblage of running, standing, surface and underground waterbodies. Running waters act as conduits connecting terrestrial and marine systems, providing an important long-range transport mechanism, as well as storage opportunities in some benthic or riparian habitats (Horton & Dixon, 2017). Standing waters, including lakes and ponds, may also act as accumulators and stores of plastic (Vaughan et al., 2017). The role of freshwaters in the transport of plastics across catchments is thus highly dependent upon the characteristics of the waterbody.

The sources of plastic entering freshwater ecosystems are varied and spatially heterogeneous, ranging from diffuse inputs stemming from run-off to point sources such as Wastewater Treatment Works (WwTWs) and Combined Sewer Overflows (CSOs) (Horton et al., 2017a).

Domestic sewage collects a variety of plastic types, including synthetic wet wipes, microbeads (Duis & Coors, 2016) and polymer fibres from the laundering of synthetic textiles (Napper & Thompson, 2016). WwTWs effectively remove the vast majority of both large and small plastics from raw influent (95–99%), yet these point sources remain an important contributor of smaller microplastic particles to freshwater ecosystems (Murphy et al. 2016; Talvitie et al., 2017). These contributions from treated effluent, however, are spatially variable in response to variable removal efficiencies across WwTWs (Siegfried et al., 2017). Microplastics removed during treatment are also not completely disconnected from entering the environment, with the retention of plastics in sludge (Mahon et al., 2017) and the potential for subsequent re-application across catchments. Further sources of micro- and macro-plastic identified within existing literature include, diffuse urban pollution, stormwater drains (Horton et al., 2017b), combined sewage overflows and litter (Horton et al. 2017a). The combined effects of urban pollution sources have been shown to generate enhanced concentrations of plastics within freshwater systems, for example the highly populated Lake Erie maintains far greater concentrations of microplastic particles ( $43,000 \text{ MP km}^{-2}$ ) in comparison to lakes in proximity to less populated regions, e.g. Lake Huron ( $6,541 \text{ MP km}^{-2}$ ) and Lake Superior ( $12,645 \text{ MP km}^{-2}$ ) (Eriksen et al., 2013). As a result of the ubiquity of point and diffuse sources of plastic pollution within freshwaters, it is not surprising that plastic has been widely identified within a range of freshwater habitats (Free et al., 2014; Horton et al., 2017b). Data from freshwater systems, thus far, indicate that these systems are important hotspots of plastic pollution, holding some of the highest concentrations of (micro)plastics recorded in either water and sediments across the globe (Hurley et al., 2018; Mani et al., 2015).

River systems act as conduits, connecting terrestrial, riparian, floodplain and transitional ecosystems within their catchments. Theoretical and modelling assessments support the notions of particle transfer across habitats, but also under certain conditions significant storage

(see Nizzetto *et al.*, 2016a). The retention and transport of plastics are a product of particle characteristics (density and dimensions) and environmental characteristics (flow regime) (Nizzetto *et al.*, 2016a). Within river systems plastics may pool in benthic sediments (Castañeda *et al.*, 2014) or be transferred along an altitudinal gradient towards marine ecosystems (Lebreton *et al.*, 2017; Mani *et al.*, 2015). This transport may occur throughout the water column, with significant transport observed both on the surface (Dris *et al.*, 2015b; Aaron Lechner *et al.*, 2014) and subsurface (Morritt, Stefanoudis, Pearce, Crimmen, & Clark, 2014) of river systems.

The interaction between storage and flux processes is highlighted in a recent study by Hurley *et al.* (2018), which indicates the significant mobilisation and removal of sedimentary microplastics in response to high flow events. In this example,  $0.85 \pm 0.27$  tonnes of plastic was removed from a single catchment during an individual flood event (Hurley *et al.*, 2018). Similar flood events may also be responsible for distributing plastics onto floodplains. The net or total flux of plastics from terrestrial sources, through hydrological networks to marine systems however remains poorly understood. It is, however, estimated that global river networks are responsible for transferring 1.15–2.41 MT of plastic pollution to marine environments (Lebreton *et al.*, 2017). This estimate, however, is based solely upon surface transport and does not account for suspended and bedload transport. As a result, the mass of plastic transported through river systems are likely to be underestimated, with the combination of surface and subsurface transport more likely accounting for a greater proportion of the total 4.8–12.7 MT estimated entering marine environments per year (Jambeck *et al.*, 2015).

#### **2.4. Marine systems**

Oceans are often considered the end-point of plastic fluxes from hydrological catchments (Horton & Dixon, 2017). As highlighted previously, it is estimated that fluxes of plastics from rivers provide a major input of macro- and micro-plastics into marine environments across the

globe (Lebreton et al., 2017; UNEP, 2016). With 50% of the global population residing within 31 km of the coast (Small & Cohen, 2004), direct inputs of plastics are also likely to be significant. Finally, industrial activity, such as commercial fishing, contributes to the total plastic burden within marine ecosystems (Lusher et al., 2015b). In most cases these activities release macro-plastics, such as netting and plastic sheeting, which then degrades to form microplastic particles when exposed to physical, chemical or biological processes (e.g. Davidson, 2012). The potential variety of plastic sources generates a widespread distribution of plastics in the marine environment, yet heterogeneity exists with accumulation zones and plastic hotspots (Lusher, 2015). Plastic transport processes are widespread and heterogeneous within the marine environment (Browne et al., 2011). Ocean and wind circulation currents, ranging from small-scale vertical mixing to large-scale oceanic gyres, appear responsible for the observed patchiness of plastic distribution within marine systems (Kukulka et al., 2012; van Sebille et al., 2015). In coastal regions, local hotspots may also be generated by the influx of plastics from river systems (Frias et al., 2014).

Although not commonly appreciated, plastics are also transported out of marine and coastal ecosystems to terrestrial and atmospheric environments through wind and wave action (e.g. storm surges) (Horton et al., 2017a). These transport pathways redeposit plastic to coastal/terrestrial systems. For example, a large proportion of plastic litter present across coastal regions is derived from marine environments, transported and deposited through wave action (Browne et al., 2011). The suspension of plastic by aeolian processes is responsible for transferring particles from marine to atmospheric systems, with microplastics potentially aerosolised alongside the sea surface microlayer (Wright & Kelly, 2017). Plastic particles will also settle through the water column and become incorporated in marine sediments (Van Cauwenberghe et al., 2013). The rate at which this process occurs is influenced by amalgamation within faecal pellets (Cole et al., 2016) or incorporation into algal structures

(Long et al., 2015). The accumulation of plastic in benthic sediments provides a temporary store which may be remobilised by physical and biological processes, although there is limited research on the mechanisms of plastic transport in marine systems (Martin et al., 2017).

## **2.5. Underrepresented ecosystems**

There are several ecosystems where the occurrence of plastics remains largely unexplored. In particular, groundwater and cryosphere ecosystems, as well as riparian ecotones have received relatively limited attention. Yet the potential for these ecosystems to significantly influence the storage and flux of plastics is not negligible.

Within the cryosphere, the remobilisation of plastics resulting from increasing melt-rates, may provide a significant source of plastics to other ecosystems. Existing research demonstrates high concentrations of plastic debris (40–250 MP L<sup>-1</sup> melted ice) stored in Arctic sea-ice (Obbard et al., 2014; Peeken et al., 2018). The release of plastic from sea ice is likely an important contributor to the flux of plastic within marine systems. As an example, the net melting of sea ice between 2011 and 2016 is estimated to have released  $7.2\text{--}8.7 \times 10^{20}$  MP in the size range of 0.011–5 mm (Peeken et al., 2018). Within glacierised hydrological catchments, patterns of continuing deglaciation may lead to a significant release of plastic, however, little is known about the distribution of plastic contamination across these compartments of the cryosphere.

Groundwater systems provide important stores and transfer pathways of pollutants, e.g. pesticides (Toccalino et al., 2014), so it is likely that these systems would store and transport micro- and nano-plastics (Rochman, 2018). While interstitial pore space within rock strata, hydrologic connectivity and subsurface flow paths, limit particle sizes, it is likely that some systems like karsts may also transport or store larger particle sizes. The relative contribution of groundwater to the total flux of plastic pollution, is likely relatively restricted due to pore size restrictions.

Riparian ecotones, as the main interface between terrestrial and freshwater systems, are also obvious points for transfer and storage. Recent studies have used citizen science techniques to quantify the levels of macroplastic litter along riverbanks and riparian zones, observing an average of  $0.54 \pm 1.2$  litter items  $\text{m}^{-2}$  across Germany (Kiessling et al., 2019). Riparian zones likely provide temporally variable effects on the storage and transfer of plastic pollution. For example, during floods plastics are prone deposition above the bank, namely if the riparian vegetation increases retention. River level (water height), velocity, vegetation type, coverage and roughness, are here key regulating factors in the storage, release or transport of plastics in riparian ecosystems.

### **3. Biological retention and cycling of plastics across catchments**

Plastics are transported, ingested, cycled and sometimes retained by biota. Biological interactions such as ingestion also alter the physical and chemical properties of these plastics, which in turn influences the movement (flux and storage) of plastic between ecosystems. As an example, as plastics are incorporated into faecal pellets, phytoplankton aggregates or biofilm matrices, the otherwise buoyant plastic particles gain a propensity to sink, leading to increased deposition in sediments (Cole et al., 2016; Long et al., 2015; Rummel et al., 2017). The aggregation of particles as a result of egestion may subsequently alter the distribution of plastics whilst also increasing their bioavailability to organisms feeding on faecal material (Ward & Kach, 2009). Once in food webs, plastic particles may be retained through cycling between trophic levels, moving upwards through the food web as a consequence of predation (e.g. Nelms *et al.*, 2018) and re-entering the basal resources through egestion. The residence time of plastic particles within the biological component of food webs is unknown. Higher plants may also retain plastic, with significant aerial accumulation, in the branches and foliage of plants in both terrestrial and riparian systems, as well as entangled in subterranean and subaquatic plant material. The storage of plastics in the biotic components of ecosystems,



ultimately however, is restricted with the majority of plastic particles likely to return to the environments from which they were sequestered, through a series of processes including egestion and decomposition (Wright et al., 2013b).

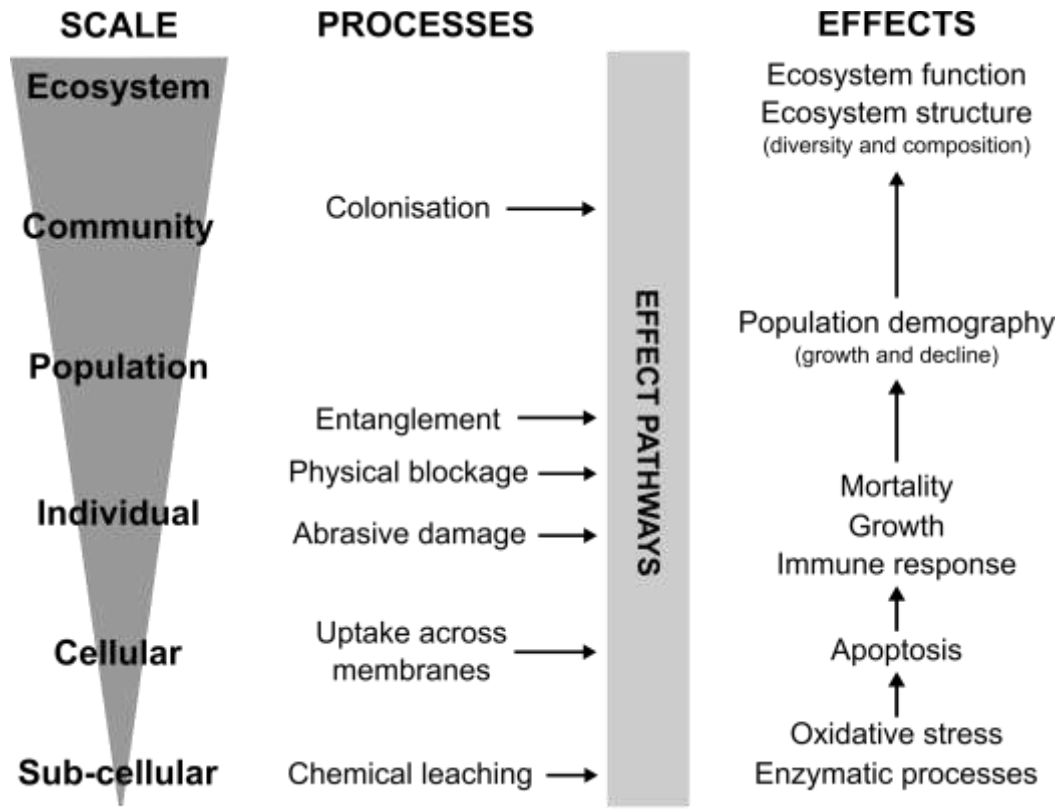
Organisms may also facilitate the transport of plastics across habitats and ecosystems. For example, the dispersal of some organisms across the landscape may act to redistribute plastics at a range of spatial scales, from microhabitats to continents. Across short distances, organisms such as worms and collembolans may transport plastics via ingestion, attachment and active transport (Maaß et al., 2017). Recent studies have also indicated the ability of mosquitos (*Culex pipiens*; Linnaeus 1758), to transport microplastics (2 and 15 µm) from aquatic to terrestrial and atmospheric systems (Al-Jaibachi et al., 2018). For micro-organisms, transport may be relatively localised, yet larger organisms (e.g. cetaceans) may facilitate long distance transport. Such processes are likely responsible for distributing plastic across the landscape and potentially generating plastic pollution in regions previously unaffected by non-biological fluxes of plastics. These processes, however, are unlikely to be significant relative to redistribution by physical processes (e.g. winds and tides). The interaction between organisms and plastic transport is an emergent field of research, requiring further attention.

#### **4. Ecological effects of plastics**

Ecological impacts on biota from exposure to plastic may stem from an array of mechanisms. While current literature predominantly reports physical impacts on biota or ecosystem function, chemically-related effects, facilitated by the adsorption properties of plastic surfaces, are also likely (Fig. 2).

One of the largest bodies of observational evidence for the lethal effects of plastic pollution lies in records of entanglement and external physical damage. Although the majority of information available implicates large plastic items, for example fishing nets and rope (e.g. (Jacobsen et al., 2010), these physical effects also pose a problem for small organisms. For

369 example, zooplankton exposed to microplastic fibres ( $1.7 \times 10^4$ – $5.4 \times 10^5$  fibres L<sup>-1</sup>), were  
 370 observed with antennal and carapace deformities resulting from external damage (Ziajahromi  
 371 et al., 2017). The concentrations utilised within this study, however, do not represent  
 372 environmentally relevant concentrations. Observations in terrestrial systems have also  
 373 identified the lethal effects of entanglement on American crow (*Corvus brachyrhynchos*;  
 374 Brehm, 1822) nestlings (Townsend & Barker, 2014). The effects of entanglement, however,  
 375 occur at the individual level, and there remains limited evidence to suggest that these  
 376 potentially lethal impacts support significant effects across populations. Furthermore, the  
 377 effects of plastic exposure on sensitive tissues have generally been carried out at concentrations  
 378 exceeding those observed within natural environments (Phuong et al., 2016).



**Fig. 2. Observed and predicted mechanistic effects of microplastic exposure in natural environments.** Potential mechanistic effects are determined from theoretical and empirical studies, as well as perceived mechanisms of action which have yet to be investigated. Bold effects and responses are those that have been investigated within the literature.

379 The ingestion of plastic has also been a focus of existing research with the severe effects (e.g.  
380 reduced growth and mortality) of plastic blockages in the digestive tracts of organisms  
381 attracting attention (Derraik, 2002; Gall & Thompson, 2015). These effects are observed across  
382 the biosphere, although they have so far been infrequently recorded on a small number of  
383 individuals. A range of more subtle effects, however, may be generated by plastic ingestion.  
384 The ingestion of plastic maintains the potential to generate reductions in the adsorption of  
385 nutrients by the organism (based on reduced uptake of nutrients and intake of actual food  
386 items), alterations in the gut microbiota and also reduce the energy budget of organisms leading  
387 to several subsequent impacts, including reduced feeding, decreased activity, reduced  
388 reproductive output and eventually mortality (see Wright *et al.*, 2013a; Au *et al.*, 2015; Watts  
389 *et al.*, 2015; Zhu *et al.*, 2018). Thus far, exposure to a range of plastic types, sizes and shapes,  
390 has generated relatively limited adverse effects on aquatic organisms, including fish and  
391 invertebrates (Foley *et al.*, 2018). As a specific example, a battery of six freshwater  
392 invertebrates exhibited limited responses in growth, reproduction and survival to polystyrene  
393 microplastics (20–500  $\mu\text{m}$ ) at concentrations of 0–40% sediment dry weight (Redondo-  
394 Hasselerharm *et al.* 2018). However, the complexity of plastics make effects difficult to predict  
395 as the shape, size and type of polymer can influence particle toxicity. For example, microfibers  
396 have been shown to have a greater adverse effect than microbeads due to entanglement and  
397 carapace damage in water fleas (*Ceriodaphnia dubia*; Richard, 1894) (Ziajahromi *et al.*, 2017).  
398 In addition to physical effects, plastics can also leach toxic compounds, generating effects  
399 within organisms that come into contact with plastics. Plastics are complex compounds with a  
400 variety of added chemicals (plasticisers, hardeners, flame retardants, surfactants and synthetic  
401 dyes) to give them their specific properties. Over time these plasticisers leach out and can often  
402 act as toxic or endocrine disrupting chemicals within the environment (Hermabessiere *et al.*,  
403 2017). A wide range of toxic compounds have been identified as plastic additives, including

404 bisphenol a (BPA), nonylphenol, polybrominated flame retardants and phthalates  
405 (Hermabessiere et al., 2017). These leachates have been shown to negatively affect  
406 development in the early life stages of invertebrates (Nobre et al., 2015), whilst also generating  
407 reproductive abnormalities in a range of organisms (Browne et al., 2007).

408 Plastics may act as vectors within the environment, facilitating the enhanced transport of  
409 persistent organic pollutants (POPs) and other chemicals through biotic and abiotic  
410 components of ecosystems (Ziccardi et al., 2016). The “vector effect” has predominantly been  
411 portrayed as detrimental, with a range of harmful substances adsorbed to the surfaces of plastics  
412 (Koelmans et al., 2016) and the possibility to potentiate the toxicity of other chemicals, e.g.  
413 triclosan (Syberg et al., 2017). The role of microplastics in organic chemical bioaccumulation,  
414 however, is unclear. While previous studies have shown increased bioaccumulation of  
415 chemicals when adsorbed to plastics (Bakir et al., 2014a, 2014b), recent evidence suggests that  
416 the role of microplastics in chemical transfer to organisms may be negligible when compared  
417 to other natural organic matter (Koelmans et al., 2016). Further to this, only a small fraction of  
418 contaminants appear to adsorb to the surface of common microplastics (polyethylene and  
419 polypropylene), with only hydrophobic compounds shown to consistently adsorb to particles  
420 (Seidensticker et al., 2018). Other studies have indicated that the presence of plastics during  
421 contaminant exposure maintains variable effects. For example, polystyrene microplastics (0.4–  
422 1.33 mm) under provided a “cleaning” mechanism, whereby pollutants, in this case PCBs, are  
423 transferred from the tissues of the organisms to the microplastic particles (Koelmans et al.,  
424 2013). In another study, the addition of polyamide microplastic particles (15–20 µm) to  
425 experimental chambers reduced the aqueous concentrations of BPA, leading to a reduction in  
426 the levels immobilisation of *Daphnia magna* (Straus, 1820) in comparison to exposure to only  
427 BPA (Rehse et al., 2018). The degree to which chemicals sorb to plastics is also highly variable  
428 and dependent upon the environmental conditions (e.g. salinity, temperature, pH and organic

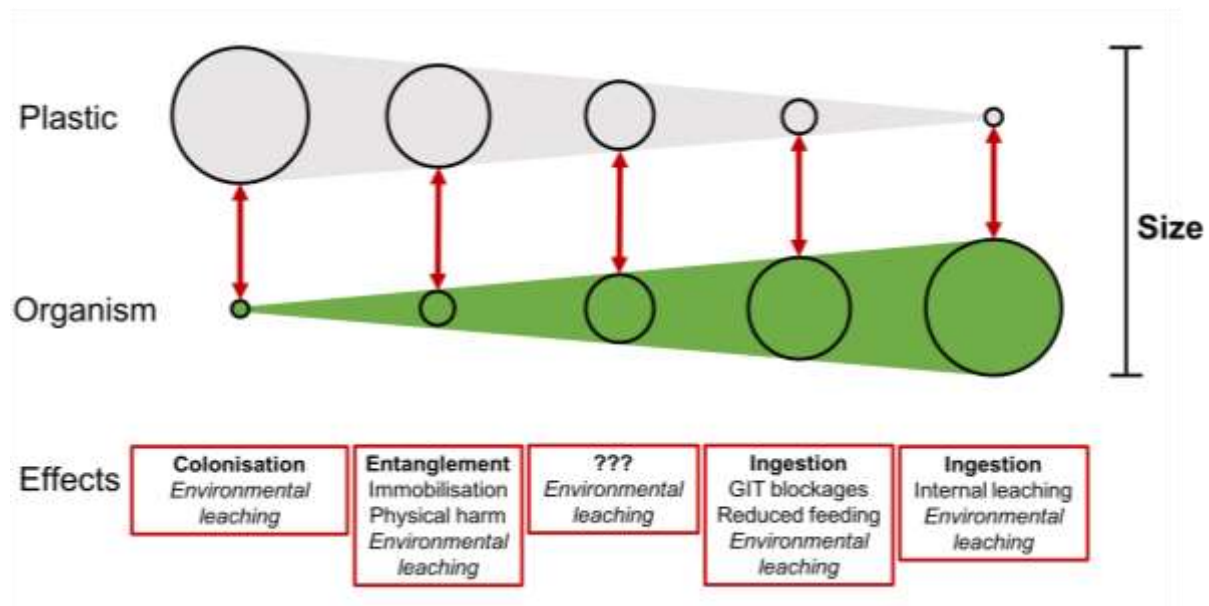
429 matter), chemical characteristics and plastic type (Teuten et al., 2009). Although other  
430 substrates may provide a greater influence on the bioaccumulation of pollutants, the sorption  
431 of pollutants to plastics may enable the transfer of pollutants over greater distances compared  
432 to organic pollutants associated with denser sediment particles (Nizzetto et al., 2016).

433 The surface of plastics provides a suitable substrate for colonisation by microbial and  
434 invertebrate communities (McCormick et al., 2016; Reisser et al., 2014). Within urban river  
435 systems, plastics have been identified as a unique and important substrate for the colonisation  
436 of aquatic microbial biofilms (McCormick et al., 2014). Similar findings have been presented  
437 within marine systems, with diatoms, phytoplankton and cyanobacteria colonising plastic  
438 particles suspended within the water column (Oberbeckmann et al., 2016; Reisser et al., 2014;  
439 Zettler et al., 2013). While in some instances the microbial communities on these plastic  
440 particles maintained comparable species richness and evenness to communities present on  
441 natural substrates (Zettler et al., 2013), other studies (e.g. McCormick et al. 2014) demonstrated  
442 that microbial communities inhabiting microplastic particles maintained a different taxonomic  
443 structure to those present in the water column and on suspended organic matter. An increasing  
444 body of research has also identified the colonisation of plastic particles by harmful microbes,  
445 which could lead to further deleterious effect upon organisms interacting with these particles  
446 (Keswani et al., 2016). For example, the ingestion of these particles may expose organisms to  
447 a range of adverse effects derived from harmful microbes and lead to long-range transport of  
448 these microbes to regions that would not normally be found (Kirstein et al., 2016; Viršek et al.,  
449 2017). Further to this, recent studies have indicated that the intense interactions within  
450 microbial communities on microplastic particles enables the increased plasmid transfer  
451 between phylogenetically-diverse bacteria, potentially facilitating the spread of antibiotic  
452 resistance across aquatic systems (Arias-Andres et al., 2018).

While individual-level effects are widely demonstrated for macro- and in some cases micro-plastics, evidence for population and food web level effects remains restricted. As highlighted by Koelmans *et al.* (2017), a range of issues currently limit our understanding of the ecological risks resulting from exposure to plastic pollution. The majority of current individual-level assessments suffer from three dominant limitations; (i) the absence of ecologically relevant metrics, (ii) a limited understanding of organism-plastic encounter rates for given exposure concentrations, and (iii) the restricted development of dose-response relationships across suitable concentration ranges. As a result, the individual-level and in some cases population effects identified within contemporary experimental assessments are not directly applicable to natural systems. Developing an improved mechanistic understanding of the effects of plastic pollution, as well as following lessons learnt in previous environmental toxicology assessments (e.g. non-monotonic relationships, mixture effects, indirect effects) is likely to improve our understanding of the ecological risks posed by plastic pollution.

## **5. Understanding plastic-biota links**

The mechanisms through which plastic exposure effects occur are strongly dependent upon the characteristics of plastic particles, including size, shape, colour and polymer type (Lambert *et al.*, 2017). As an example, polyvinyl chloride is generally more toxic than polyethylene and polypropylene, due to the greater toxicity of its additives and subsequent leachates (Lithner *et al.*, 2012). The diversity of physical and chemical characteristics exhibited by plastic particles, throughout their lifecycle and as they degrade in natural systems, means that the potential ecological effects resulting from plastic pollution are extremely variable.



**Fig. 3. Conceptual relationship between the organism-to-plastic size ratio and the dominant effects derived from direct interactions between organisms and plastic pollution at these scales.** These general relationships are independent of actual size, yet bounded by the maximum sizes of both plastic particles and organisms across the globe. Examples of potential effects at different size ratios are presented in red boxes. **Bold text** indicates the nature of organism-plastic interactions, *italic text* indicates indirect effects.

The relationship between organisms and plastic size appears particularly important in determining the nature and severity of ecological effects (Fig. 3). Plastics significantly larger than the target organism can provide a novel substrate for colonisation for the smaller organisms (as described for microbial communities (Reisser et al., 2014) and invertebrates (Davidson, 2012)), or become a cause for entanglement and associated effects for larger organisms (Gall & Thompson, 2015). Plastics of large, yet ingestible size classes present the potential for gastrointestinal blockages (Gall & Thompson, 2015). Finally, particles that are ingestible in size, yet too small to present physical risks (e.g. digestive blockages and entanglement) propose a large range of potential effects, including the leaching of toxic chemicals directly to organisms (e.g. Teuten *et al.*, 2009). These general rules provide a good indication of the potential effects of different plastic particles, however, it should be noted that organisms are able to interact with all sizes of plastic pollution, with wide range of possible

effects not detailed above. Furthermore, a range of indirect effects are also presented by particles of various sizes (Fig. 3). As an example, chemicals from macro-plastics leach into the surrounding environment, providing the potential to indirectly affect organisms through the uptake and subsequent effects.

Thus far, the observed effects of plastic pollution are mainly limited to the size classes utilised in experimental manipulations (0.04–500  $\mu\text{m}$ ) (Foley et al., 2018) or the size classes observed in fatalities in natural systems (0.3–10 m) (Jacobsen et al., 2010). Thus, the nature, mechanisms and severity of effects across the spectrum of plastic sizes is unknown. Further research investigating the interactions between organism size, plastic characteristics and ecological effects is important for developing a comprehensive knowledge of ecological risks posed by plastic pollution.

## **6. Plastic pollution in a social and economic context**

Plastic presents a number of societal benefits, and has promoted a range of technological advances. However, increasing awareness of potential environmental impacts, predominantly focused on marine systems (Thompson, 2017), is also highlighting potential knock-on effects across a range of economic sectors, including the water industry, tourism and fishing. Data are geographically restricted, yet indicate the potential for widespread socio-economic effects of plastic pollution.

Fishing activity (commercial and recreational), in particular, is negatively impacted by plastic debris, reducing and damaging catches (Thompson, 2017); for example 86% of Scottish fishing vessels surveyed had incurred restricted catches as a result of marine litter (Mouat et al., 2010). Furthermore, entanglement within marinas and harbours appears a significant problem, with 70% of surveyed marinas and harbours reporting that users had experienced incidents with litter (Mouat et al., 2010). Contamination of fish stocks may also provide a significant economic cost, although concentrations of plastic within individual fish is relatively low (e.g.



1–2 pieces per organism: Foekema *et al.*, 2013; Lusher *et al.*, 2013). Nevertheless, the negative perception of this contamination by consumers may be enough to affect the marketability of commercial organisms (GESAMP, 2016).

Another economic sector significantly impacted by plastic pollution is tourism. Public perceptions of plastic pollution is likely to influence where people choose to visit. For example, visitors to coastal regions cited the presence of litter as a factor influencing the locations they visited (Brouwer *et al.*, 2017). To mitigate the negative effects of litter local authorities implement cleaning operations, which within the UK is estimated to cost £15.5 million annually (Mouat *et al.*, 2010). The combination of removal costs and potential reductions in tourism present a major concern the tourism industry.

Expenses are also incurred through increased research and development relating to water treatment methods, damages to equipment and blockages of infrastructure. In particular, cosmetic wipes have been shown to cause problems – blocking sewage infrastructure and generating private and public effects (Drinkwater & Moy, 2017). The net costs of plastics to the water industry are, however, difficult to calculate as removal and blockages occur alongside other problematic items (e.g. fat, grease and organic pollutants).

Human health is potentially impacted by plastic pollution. Beach litter has been shown to cause physical harm (Werner *et al.*, 2016), nevertheless, the vast majority of these incidents relate to metal and glass as opposed to plastic. Psychological effects of plastic litter are also observed with negative effects on the ‘restorative value’ generated by visiting a polluted habitat (Wyles *et al.*, 2016). The health of individuals may also be affected by any of the suite of effects highlighted in the previous section *Ecological effects of plastic*. This includes the transport of potentially harmful microbes and chemicals (see Keswani *et al.*, 2016), as well as the physical effects of plastic ingestion. More work is nevertheless required to detail the specific health risks to human populations generated by global plastic pollution.

## **7. Plastic pollution as an agent of global change**

The relative impact of plastic pollution on ecosystems in comparison to other global stressors is poorly understood. Contextualising the effects of plastic pollution within a multi-stressor environment is an important development and to date, the importance of plastic effects in comparison to urbanisation, habitat fragmentation, other pollutants, increased temperatures, hydrological changes and invasive species, for example, is unknown. Within the terrestrial environment, nevertheless, recent investigations across soil ecosystems, plastics have been identified as a potential agent of global change, altering the function of soils (water retention, microbial activity, soil structure and bulk density) and affecting their role in the function of the wider environment (de Souza Machado et al., 2018b). Furthermore, microplastics have been shown to potentiate the effects of other xenobiotic pollutants, in this case the antimicrobial chemical triclosan (Syberg et al., 2017). The interactions between other stressors and plastic pollution therefore provides the potential to generate negative effects across natural ecosystems. Future mitigation and management strategies will require a better understanding of the relative importance of global pressures, and also their interactions.

## **8. Future research at the catchment-scale**

Understanding the movement of plastic through hydrological catchments is an important step in determining the source to sink dynamics of plastics within natural systems. This review highlights that catchment-scale assessments are currently limited to theoretical assessments, but also provides a framework to structure future investigations, with hypotheses already generated by theoretical models. Supporting existing studies with comprehensive field-based and experimental datasets is the logical next step in developing a comprehensive body of research assessing catchment-scale transport and effects of plastic pollution. To date, empirical studies have focused on individual ecosystems providing an analysis of plastic distribution and plastic-organism interactions. Catchment scale assessments are an important next step for

research. Detailed below, are several important developments required to facilitate the advance of catchment-scale investigations.

**Methods for tracing plastic transport processes.** Contemporary empirical assessments are not able to elucidate the sources and pathways of plastic particles, as once particles enter the environment tracing sources becomes problematic. Furthermore, the longer particles are exposed to physical, chemical or biological processes, the more their transformation exacerbates difficulties identifying sources. Novel methods of tracing plastics have yet to be developed, yet using tracer studies to support existing models will allow for directed research projects attempting to bridge current knowledge gaps.

**Hotspots and sinks of plastic pollution.** Knowledge surrounding the distribution of plastic pollution across catchments is limited. Understanding where and how high plastic concentrations arise in space and time is required for assessments detailing how plastic concentrations may vary across hydrological catchments. The importance of such developments is further emphasised by a recent study which identified the highest global concentration of microplastics recorded within riverine sediments (517,000 MP m<sup>-2</sup>) (Hurley et al., 2018). Assessments of heterogeneity are required at a range of spatial scales, from local patch-dynamics at centimetre to metre scales, to comparisons between entire habitats and ecosystems. Understanding spatial variation and potential sinks of plastic will allow for an improved understanding of transport processes leading to the deposition of plastics across the landscape, and importantly provide more accurate risk maps for biota.

**Quantification of source contributions.** Although estimates exist for the net contribution of plastic from specific ecosystems, e.g. freshwater (Lebreton et al., 2017) and terrestrial (Horton et al., 2017a) systems, the importance of specific sources in contributing to these plastic burdens across these environments is poorly understood. Further study of plastic sources, in particular diffuse contributions, is required to better resolve the source-flux-sink nexus within

catchments, detailed in previous sections. Developing more accurate methods of quantification, designed to detect low concentrations of plastic and nano-plastics will enable the detection of a wider range of plastics (e.g. tyre dust), allow for an improved understanding of plastic pollution across catchments and bridge the current gap between estimated inputs of plastic into catchments and measured environmental concentrations. Through investigating the characteristics and concentration of plastics released from each potential source, a mixing-model type assessment can be used to understand the entrance and flux of plastics within catchments (Fahrenfeld et al., 2018). Further to this, determining the specific contributions from sources will enable targeted mitigation, ultimately aimed at preventing the entrance of plastics into the natural environment.

**Determining the applicability of catchment assessments.** Catchment-scale assessments are dependent upon catchment characteristics, including but not limited to: size, relief, land cover, water quality, hydrological connectivity and geomorphological features. The degree to which plastic studies within individual catchments are applicable across the wider landscape is unknown. To answer this question, multiple catchment assessments are required to determine the relative importance of catchment-specific processes (e.g. hydrological flow paths, subsurface characteristics and catchment geology) in comparison to more generalisable characteristics (e.g. land cover, population density, human activities). An understanding of the importance of processes at a range of spatial and temporal scales, is also required in order to appreciate the extent to which relationships are applicable across catchments.

## **9. Conclusions**

Our understanding of the effects of macro-plastics within ecosystems indicates the potential negative effects of these pollutants. Knowledge regarding the nature and severity of effects derived from smaller plastic particles, at environmentally relevant concentrations, however, remains restricted. The array of mechanistic effects identified by studies nevertheless indicate

611 the potential for adverse effects within natural systems. The significant potential for effects  
612 coupled with recent research indicating the relative global ubiquity of plastics provides a  
613 perceivable risk to a range of ecosystems. In spite of this, we are only starting to understand  
614 the fluxes and pools of plastics within a range of ecosystems. This knowledge is nonetheless  
615 fundamental for mitigating existing and future plastic pollution. It is apparent that further  
616 research is required to better understand the interactions between plastic pollution and  
617 organisms in many ecosystems. Furthermore, a comprehensive understanding of potential  
618 ecological risks presented by plastics remains absent with a range of potential adverse effects  
619 remaining unexplored. The existing ecological risk presented by plastic pollution is estimated  
620 to continue into the future as a result of predicted increases in production of plastics, the  
621 significant persistence of plastic particles and the degradation of existing plastic pollution  
622 generating increases in micro- and nano-plastic concentrations across the globe.

#### 623 **Conflicts of interest**

624 The authors declare no conflicts of interest.

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